



Policy Number 保單編號：

REQUEST FORM FOR INVESTMENT OPTION MANAGEMENT AND CONSULTANT SERVICE FEE (MACAU)

投資選擇管理及顧問服務費申請書 (澳門)

(THIS FORM IS APPLICABLE TO PULSAR INVESTMENT INSURANCE PLAN ONLY
本申請書僅適用於雋宇投資保險計劃)

Full name of Applicant (The Applicant must be the policy owner / assignee of the Policy) 申請人姓名 (申請人必須為該保單的保單持有人 / 受讓人)

In English 英文姓名	Surname 姓	Given Name 名
--------------------	--------------	-----------------

Full name of Insured (the "Insured") 被保人姓名

In English 英文姓名	Surname 姓	Given Name 名
--------------------	--------------	-----------------

Details of Financial Consultant (the "Consultant") 理財顧問 (「顧問」) 資料

Consultant Company code: 顧問公司編號：	Consultant Company Name: 顧問公司名稱：	Consultant Company Contact Tel No.: 顧問公司聯絡電話號碼：
-------------------------------------	-------------------------------------	--

The expression the "Company" used in this application form refers to "AXA China Region Insurance Company (Hong Kong) Limited".
本申請書中所用之「本公司」或「貴公司」之表述指安盛金融保險 (香港) 有限公司。

Important notes

- This form is to be completed by the policy owner/assignee of the Policy in BLOCK LETTERS and signed by such person with the signature the same as that on the Company's record.
- The policy number, the names of the Applicant, the Insured and the Consultant must be completed for the Company to process this application.
- This form should only be completed when the Consultant possesses the authorization, licence and/or registration as required (if any) under any applicable legislation and regulations or by any competent authority(ies) to provide investment advices to the Applicant and to give investment instructions to AXA China Region Insurance Company (Hong Kong) Limited directly, without the instructions being counter-signed by its client, as described in this form.**
- Capitalized terms in italics used in this application should have the meanings ascribed to them in the Policy unless otherwise stated.

重要事項:

- 此申請書應由該保單的保單持有人 / 受讓人以正楷填寫及簽名，簽名式樣須與本公司的記錄相符。
- 此申請書上的保單編號以及申請人、被保人和顧問的名稱必須填寫，否則本公司不予處理此申請書。
- 只有在顧問按本申請書所述，持有適用法例及規例或者任何主管機關要求的授權、牌照及/或註冊 (若有)，可向申請人提供投資建議及向安盛金融保險 (香港) 有限公司直接作出投資指示而無須客戶在指示上副署簽字的情況下，方可填寫本申請書。**
- 除非另行說明，此申請書中使用的斜體詞彙應具有保單對其規定的含義。

1. DELEGATION OF INVESTMENT OPTION MANAGEMENT 委託投資選擇管理

Note 注意: Please tick the box and complete the particulars if delegation of investment option management is to be applied.

如申請委託投資選擇管理，請在空格內加上「✓」號及填寫詳情。

- The Applicant, i.e. the policy owner / assignee of the Policy, wishes to delegate the investment option management (i.e. application for switching of units in investment options and change of investment option allocation instruction) of the Policy to the Consultant as named below in this section 1. With effect from the date (the "Delegation Effective Date") the Company processes this application for delegation of investment option management at the Company's Office, the Consultant shall have complete discretionary authority, without consulting the Applicant first, to give instructions (the "Instructions") to the Company on behalf of the Applicant, subject to the terms and conditions of the Policy, to switch units in investment options and change the investment option allocation instruction in respect of the Policy.

The Applicant hereby authorizes the Company to accept and act on instructions given or purported to be given by the authorized person(s) of the Consultant (as may be notified by the Consultant to the Company in writing from time to time) in respect of the Policy. The Company is entitled to rely on the list of authorized person(s) provided by the Consultant to the Company until the Company receives written notice from the Consultant of any change in such list.

The delegation of investment option management pursuant to this form may be terminated by the Applicant but such termination will only take effect from the date the Company processes the Applicant's application for termination of delegation of investment option management of the Policy to the Consultant at the Company's Office.

申請人，即該保單的保單持有人 / 受讓人，希望將該保單的投資選擇管理 (即申請轉換投資選擇單位及更改投資選擇分配指示) 委託給本第 1 項下文中列明的顧問。自本公司辦事處處理此項委託投資選擇管理申請之日 (「委託生效日」) 起，顧問享有完整的全權委託授權，無需首先徵詢申請人意見，即可在遵守該保單的條款及條件的前提下代表申請人向本公司作出指示 (「指示」)，就該保單轉換投資選擇單位及更改投資選擇分配指示。

申請人特此授權本公司就該保單接受由顧問的授權人員作出或據稱由顧問的授權人員作出的指示並依照該等指示行事 (顧問不時書面通知本公司其授權人員名單)。本公司有權信賴顧問向本公司提供的授權人員名單，直至本公司收到顧問關於名單變更的書面通知為止。

根據本申請書作出的投資選擇管理委託可由申請人取消，但取消僅自本公司辦事處處理申請人取消就該保單對顧問作出的投資選擇管理委託的申請之日起方可生效。

Details of Consultant (Please complete all required information below.) 顧問資料 (請填寫下列所有需要資料)

Consultant Company name In English 顧問公司英文名稱	<input type="text"/>		
Consultant Company name In Chinese (if any) 顧問公司中文名稱 (如有)	<input type="text"/>	Consultant Company Code: 顧問公司編號:	<input type="text"/>
Company Address 公司地址	<input type="text"/>		
Company Contact Information 公司聯絡資料	Office Tel: 公司電話:	Email Address: 電郵地址:	Fax Number: 傳真號碼:

***Please continue to fill in Section 2 for the Company to process this application. *請繼續填寫第二項申請，否則本公司不予處理此申請書。**

2. AUTHORIZATION OF CONSULTANT SERVICE FEE PAYMENT 授權支付顧問服務費

Note 注意: Please tick the appropriate box and complete the particulars (MUST complete this section if section 1 is selected).

請在適用之空格內加上「✓」號及填寫詳情 (已選擇第 1 項申請者, 必須填寫此項申請)。

- No consultant service fee (the “**Consultant Service Fee**”) is to be paid to the Consultant 無需向顧問支付顧問服務費 (「顧問服務費」)
- The Applicant hereby authorizes the Company to pay the Consultant Service Fee to the Consultant on behalf of the Applicant out of the account value of the Policy at the rate and in the manner as described below:

申請人特此授權本公司代表申請人按下文所述的比率及方式, 從該保單的戶口價值中向顧問支付顧問服務費:

The monthly Consultant Service Fee is equal to % (up to 2 decimal places) per annum (ranging from 0% to 3% per annum) divided by 12, multiplied by the Accumulation Units Account Value (after deduction of the Policy Charges) of the Policy as at the due date for the Consultant Service Fee.

The Consultant Service Fee is payable monthly in advance and will be deducted from the Accumulation Units Account of the Policy with effect from the due date for the Consultant Service Fee immediately following the date the Company processes this application in relation to payment of the Consultant Service Fee at the Company's Office. The Consultant Service Fee is payable only if the Accumulation Units Account Value (after deduction of the Policy Charges) as at the due date for the Consultant Service Fee is greater than zero. Such fee will be deducted on the same date as the Policy Date of the Policy in each month by cancelling units of the investment options of the Policy at the Bid Price in proportion to the value of the units of the respective investment options held by the policy owner in the Accumulation Units Account.

The Consultant Service fee is payable until the earlier of (i) the due date for the Consultant Service Fee immediately following the date the Company processes the Applicant's request to cease payment of the Consultant Service Fee at the Company's Office or (ii) the Policy has been terminated.

Any payment of the Consultant Service Fee shall be subject to the terms and conditions of the Policy.

The Applicant hereby acknowledges that the illustration document in respect of the Policy does not take into account the Consultant Service Fee, which is an optional fee agreed between the Applicant and the Consultant. The Consultant Service Fee is not imposed by the Company and is not a Policy Charge.

每月之顧問服務費為年率 % (計算至小數點後兩位) (由每年 0% 至 3% 不等) 除以 12, 然後乘以在顧問服務費到期日之累積供款戶口價值 (已扣除保單收費)。

顧問服務費乃按月預先繳付, 並於緊隨本公司辦事處處理此項支付顧問服務費申請之日後的顧問服務費到期日起, 開始從該保單的累積供款戶口中扣減。顧問服務費只會於在顧問服務費到期日之累積供款戶口價值 (已扣除保單收費高於零的情況下) 徵收。該費用會於每個月與該保單的保單日期相同之日扣減, 透過根據保單持有人於累積供款戶口所持各項投資選擇的單位價值之比例, 以買入價注銷該保單的投資選擇單位。

顧問服務費需繳付直至 (i) 於緊隨本公司辦事處處理申請人終止支付顧問服務費的申請之日後的顧問服務費到期日, 或 (ii) 該保單終止, 以兩項中較早者為準。

顧問服務費的任何支付應遵守該保單之條款及條件。

申請人特此確認, 有關該保單的說明文件並未將顧問服務費納入考慮範圍, 該費用為一項申請人與顧問之間約定的自選費用。顧問服務費並非由本公司徵收, 亦非保單收費。

3. CHANGE OF CONSULTANT SERVICE FEE 更改顧問服務費

Note 注意: Please tick the box and complete the particulars if change of Consultation Service Fee is to be applied. 如申請更改顧問服務費, 請在空格內加上「✓」號及填寫詳情。

- The Applicant wishes to revise the rate of the Consultant Service Fee as described in this section 3

The new rate of the monthly Consultant Service Fee shall be equal to % (up to 2 decimal places) per annum (ranging from 0% to 3% per annum) divided by 12, multiplied by the Accumulation Units Account Value (after deduction of the Policy Charges) of the Policy as at the due date for the Consultant Service Fee.

The new rate of the Consultant Service Fee will take effect from the due date for the Consultant Service Fee immediately following the date the Company processes this application to change the Consultant Service Fee at the Company's Office.

申請人希望按本第 3 項所述修改顧問服務費比率。

每月之顧問服務費的新比率為年率 % (計算至小數點後兩位) (由每年 0% 至 3% 不等) 除以 12, 然後乘以在顧問服務費到期日之累積供款戶口價值 (已扣除保單收費)。

新的顧問服務費比率將於緊隨本公司辦事處處理此項更改顧問服務費申請之日後的顧問服務費到期日起生效。

4. TERMINATION OF DELEGATION OF INVESTMENT OPTION MANAGEMENT 終止投資選擇管理委託

Note 注意: Please tick the box if termination of delegation of investment option management is to be applied. 如申請終止投資選擇管理委託, 請在空格內加上「✓」號。

- The Applicant wishes to terminate the delegation of the investment option management (i.e. application for switching of units in investment options and change of investment option allocation instruction) of the Policy to the Consultant. With effect from the date (the “**Termination Effective Date**”) the Company processes this application for termination of delegation of investment option management at the Company's Office, the Consultant shall cease to have any authority to give any instructions to the Company on behalf of the Applicant to switch units in investment options or change the investment option allocation instruction in respect of the Policy.

申請人希望就該保單終止委託投資選擇管理 (即申請轉換投資選擇單位及更改投資選擇分配指示) 予顧問。自本公司辦事處處理此項終止投資選擇管理委託申請之日 (「終止委託生效日」) 起, 顧問即不再有任何權代表申請人為就該保單轉換投資選擇單位及更改投資選擇分配指示而向本公司作出任何指示。

5. TERMINATION OF CONSULTANT SERVICE FEE PAYMENT 終止顧問服務費支付

Note 注意: Please tick the box if termination of delegation of investment option management is to be applied. 如申請終止投資選擇管理委託, 請在空格內加上「✓」號。

- The Applicant wishes to terminate the Consultant Service Fee payment to the Consultant. With effect from the due date for the Consultant Service Fee immediately following the date the Company processes this application for termination of Consultant Service Fee payment at the Company's Office, such fee shall cease to be payable to the Consultant and the Company shall cease to make any payment of the Consultant Service Fee to the Consultant in respect of the Policy.

申請人希望終止向顧問支付顧問服務費。自緊隨本公司辦事處處理此項終止顧問服務費支付申請之日後的顧問服務費到期日起, 即無須向顧問支付該費用, 且貴公司無須再就該保單向顧問作出任何顧問服務費的支付。

6. DECLARATIONS AND UNDERTAKINGS 聲明及承諾**6.1 Declarations and undertakings applicable to delegation of investment option management and authorization of Consultant Service Fee payment by the Applicant**

In relation to the Applicant's delegation of investment option management and/or authorization of Consultant Service Fee payment pursuant to sections 1 and/or 2 of this form, the Applicant hereby acknowledges that the Consultant must possess any necessary authorization, licence and/or registration required (if any) under any applicable legislation and regulations or by any competent authority(ies) and the Consultant must be a distributor appointed by the Company for the distribution of the insurance product concerned for so long as the delegation and/or authorization is effective. When the Consultant ceases to be an appointed distributor of the Company or a servicing insurance broker of the Applicant in respect of the Policy, the Applicant's delegation of investment option management and/or authorization of Consultant Service Fee payment shall be terminated automatically on the date of such cessation.

The Applicant hereby acknowledges and agrees that the Applicant's delegation of investment option management and/or authorization of Consultant Service Fee payment pursuant to sections 1 and/or 2 of this form shall be terminated automatically upon the change of the policy owner or assignee of the Policy.

The Applicant hereby acknowledges and agrees that (i) the Consultant acts on behalf of the Applicant independently of the Company, and (ii) the Company will have no responsibility for any nancial, investment and / or any other advice provided to the Applicant by the Consultant.

The Applicant hereby acknowledges and agrees that the Company shall not be responsible for any loss or liability to the Applicant arising from the delegation of investment option management under section 1 of this form or from the Company acting on instructions which the Company reasonably believes to be the instructions of the Consultant or failing to act in the absence of any such instructions.

The Applicant hereby acknowledges and agrees that the Company will not be responsible or accept liability for any loss in the value of the Policy resulting from any advice or instruction given by or negligence or default of the Consultant or any other unauthorized action taken by the Consultant concerning the Policy.

The Applicant hereby agrees to indemnify the Company against all losses, costs and expenses (including without limitation the cost of defending in any court of law any claim, demand or action against the Company) which the Company may suffer or incur, directly or indirectly, as a result of acting in accordance with instructions which the Company reasonably believes to be the instructions of the Consultant.

The Applicant hereby acknowledges and agrees that for so long as the delegation of investment option management is effective, the right of the Applicant to switch units of investment options and to change the investment option allocation instruction in respect of the Policy shall only be exercised through the Consultant and the Applicant is not entitled to give instructions to the Company direct to switch units of investment options and/or change the investment option allocation instruction in respect of the Policy. For the avoidance of doubt, the delegation of investment option management is effective from the Delegation Effective Date until the date (the **"Final Delegation Date"**) immediately preceding the Termination Effective Date (inclusive of the Final Delegation Date).

The Applicant hereby declares and agrees that it has the full authority from and consent of the Insured to make the above declarations and agreements.

6.1 申請人於委託投資選擇管理及授權支付顧問服務費的聲明及承諾

關於申請人根據本申請書第 1 項及 / 或第 2 項作出的投資選擇管理委託及 / 或顧問服務費支付授權，申請人特此確認，在委託及 / 或授權有效期間，顧問須持有任何適用法例及規例或者任何主管機關要求的授權、牌照及 / 或註冊 (若有)，且顧問須為負責分銷相關保險產品的貴公司指定分銷商。如顧問停止作為貴公司的指定分銷商或就該保單不再是為申請人服務的保險經紀，申請人的投資選擇管理委託及 / 或顧問服務費支付授權將於同日自動終止。

申請人特此確認並同意，申請人根據本申請書第 1 項及 / 或第 2 項作出的投資選擇管理委託及 / 或顧問服務費支付授權將於該保單的保單持有人或受讓人變更時自動終止。

申請人特此確認並同意，(i) 顧問代表申請人獨立於貴公司行事，且 (ii) 貴公司對於顧問向申請人提供的任何理財、投資建議及 / 或任何其他建議概不負責。

申請人特此確認並同意，對於申請人因本申請書第 1 項下的投資選擇管理委託或因貴公司按照貴公司合理認為乃顧問作出之指示採取行動或因貴公司未接獲此等指示而未採取行動所產生的任何損失或責任，貴公司概不負責。

申請人特此確認並同意，對於顧問作出的任何建議或指示、顧問的疏忽或失責或者顧問就該保單採取的任何其他未獲授權的行動所導致的該保單價值的任何損失，貴公司不負責，亦不承擔任何責任。

對於貴公司因按照貴公司合理認為乃顧問作出之指示採取行動而直接或間接受受或發生的所有損失、費用及支出 (包括但不限於在任何法院為針對貴公司的任何申索、要求或行動作出抗辯的費用)，申請人特此同意彌償貴公司。

申請人特此確認並同意，於投資選擇管理委託有效期間，申請人就該保單轉換投資選擇單位及更改投資分配指示的權利應僅透過顧問行使，而申請人無權為就該保單轉換投資選擇單位及 / 或更改投資選擇分配指示而直接向本公司作出任何指示。為明確起見，投資選擇管理委託自委託生效日起生效，直至緊接取消委託生效日的前一日 (「最終委託日」) 為止 (含最終委託日)。

申請人謹此聲明及同意其已獲被保人授權及同意申請人作出以上聲明及協議。

7. PERSONAL INFORMATION COLLECTION STATEMENT 收集個人資料的聲明

The Company recognises its responsibilities in relation to the collection, holding, processing, use and/or transfer of personal data under the regulations in relation to personal data protection. Personal data will be collected only for lawful and relevant purposes and all practicable steps will be taken to ensure that personal data held by the Company is accurate. The Company will take all practicable steps to ensure security of the personal data and to avoid unauthorised or accidental access, erasure or other use.

Please note that if you do not provide us with your personal data, we may not be able to provide the information, products or services you need or process your request.

Purpose: From time to time it is necessary for the Company to collect your personal data (including credit information and claims history) which may be used, stored, processed, transferred, disclosed or shared by us for purposes ("**Purposes**"), including:

1. offering, providing and marketing to you the products/services of the Company, other companies of the AXA Group ("**our affiliates**") or our business partners (see "**Use and provision of personal data in direct marketing**" below), and administering, maintaining, managing and operating such products/services;
2. processing and evaluating any applications or requests made by you for products/services offered by the Company and our affiliates;
3. providing subsequent services to you, including but not limited to administering the policies issued;
4. any purposes in connection with any claims made by or against or otherwise involving you in respect of any products/services provided by the Company and/or our affiliates, including investigation of claims;
5. detecting and preventing fraud (whether or not relating to the products/services provided by the Company and/or our affiliates);
6. evaluating your financial needs;
7. designing products/services for customers;
8. conducting market research for statistical or other purposes;
9. matching any data held which relates to you from time to time for any of the purposes listed herein;
10. making disclosure as required by any applicable law, rules, regulations, codes of practice or guidelines or to assist in law enforcement purposes, investigations by police or other government or regulatory authorities in Macau or elsewhere;
11. conducting identity and/or credit checks and/or debt collection;
12. complying with the laws of any applicable jurisdiction;
13. carrying out other services in connection with the operation of the Company's business; and
14. other purposes directly relating to any of the above.

Transfer of personal data: Personal data will be kept confidential but, subject to the provisions of any applicable law, may be provided to:

1. any of our affiliates, any person associated with the Company, any reinsurance company, claims investigation company, your broker, industry association or federation, fund management company or financial institution in Macau or elsewhere and in this regard you consent to the transfer of your data outside of Macau;
2. any person (including private investigators) in connection with any claims made by or against or otherwise involving you in respect of any products/services provided by the Company and/or our affiliates;
3. any agent, contractor or third party who provides administrative, technology or other services (including direct marketing services) to the Company and/or our affiliates in Macau or elsewhere and who has a duty of confidentiality to the same;
4. credit reference agencies or, in the event of default, debt collection agencies;
5. any actual or proposed assignee, transferee, participant or sub-participant of our rights or business;
6. any government department or other appropriate governmental or regulatory authority in Macau or elsewhere; and
7. the following persons who may collect and use the data only as reasonably necessary to carry out any of the purposes described in paragraphs nos. 2, 3, 4 and 5 of the Purposes specified above: insurance adjusters, agents and brokers, employers, health care professionals, hospitals, accountants, financial advisors, solicitors, organisations that consolidate claims and underwriting information for the insurance industry, fraud prevention organisations, other insurance companies (whether directly or through fraud prevention organisation or other persons named in this paragraph), the police and databases or registers (and their operators) used by the insurance industry to analyse and check data provided against existing data.

For our policy on using your personal data for marketing purposes, please see the section below "**Use and provision of personal data in direct marketing**".

Transfer of your personal data will only be made for one or more of the Purposes specified above.

Use and provision of personal data in direct marketing: The Company intends to:

1. use your name, contact details, products and services portfolio information, transaction pattern and behaviour, financial background and demographic data held by the Company from time to time for direct marketing;
2. conduct direct marketing (including but not limited to providing reward, loyalty or privileges programmes) in relation to the following classes of products and services that the Company, our affiliates, our co-branding partners and our business partners may offer:
 - a) insurance, banking, provident fund or scheme, financial services, securities and related products and services;
 - b) products and services on health, wellness and medical, food and beverage, sporting activities and membership, entertainment, spa and similar relaxation activities, travel and transportation, household, apparel, education, social networking, media and high-end consumer products;
3. the above products and services may be provided by the Company and/or:
 - a) any of our affiliates;
 - b) third party financial institutions;
 - c) the business partners or co-branding partners of the Company and/or affiliates providing the products and services set out in (2) above;
 - d) third party reward, loyalty or privileges programme providers supporting the Company or any of the above listed entities;
4. in addition to marketing the above products and services, the Company also intends to provide the data described in (1) above to all or any of the persons described in (3) above for use by them in marketing those products and services, and the Company requires your written consent (which includes an indication of no objection) for that purpose.

You may in future withdraw your consent to the use and provision of your personal data for direct marketing.

If you wish to withdraw your consent, please inform us in writing to the address in the section on "**Access and correction of personal data**". The Company shall, without charge to you, ensure that you are not included in future direct marketing activities.

Access and correction of personal data: Under the regulations, you have the right to ascertain whether the Company holds your personal data, to obtain a copy of the data, and to correct any data that is inaccurate. You may also request the Company to inform you of the type of personal data held by it.

Requests for access and correction or for information regarding policies and practices and kinds of data held by the Company should be addressed in writing to:

Data Privacy Officer
 AXA China Region Insurance Company (Hong Kong) Ltd
 Avenida do Infante D. Henrique No.43-53A, 20 Andar, The Macau Square, Macau

A reasonable fee may be charged to offset the Company's administrative and actual costs incurred in complying with your data access requests.

I/WE ACKNOWLEDGE AND CONFIRM that I/We have read and understood the Personal Information Collection Statement ("**PICS**"). I/We confirm that I/We have been advised to read carefully the PICS, and I/We have read it carefully its effect and impact in respect of my/Our personal data collected or held by the Company (whether contained in this application or otherwise). Based on the foregoing, I/We hereby give my/Our acknowledgement and agree to the use and transfer of my/Our personal data by the Company in accordance with the PICS, including the use and provision of my/Our personal data for the purpose of direct marketing.

[Important: If you do not agree to the use and provision of your personal data for direct marketing as set out in the section "**Use and provision of personal data in direct marketing**", please tick the box below and we will not use your personal data for direct marketing.]

I/We do not agree with the use and provision of my/Our personal data for direct marketing purposes as set out above in the **Personal Information Collection Statement** (see "**Use and provision of personal data in direct marketing**") and do not wish to receive any promotional and direct marketing materials.

REQUEST FORM FOR INVESTMENT OPTION MANAGEMENT AND CONSULTANT SERVICE FEE (MACAU) 投資選擇管理及顧問服務費申請書(澳門)

本公司明白其就個人資料保護相關法例收集、持有、處理、使用和 / 或轉移個人資料所負有的責任。本公司僅將為合法和相關的目的收集個人資料，並將採取一切切實可行的步驟，確保本公司所持個人資料的準確性。本公司將採取一切切實可行的步驟，確保個人資料的安全性，及避免發生未經授權或者因意外而擅自取得、刪除或另行使用個人資料的情況。

敬請注意，如果閣下不向本公司提供閣下的個人資料，我們可能無法提供閣下所需的資料、產品或服務，或無法處理閣下的要求。

目的：本公司不時有必要收集閣下的個人資料（包括信用資料和以往申索紀錄），並可能因下列各項目的（“有關目的”）而供本公司使用、存儲、處理、轉移、披露或共享該等個人資料：

1. 向閣下推介、提供和營銷本公司、安盛集團的其他公司（“安盛關聯方”）或本公司的商業合作夥伴（參閱下文“在直接促銷中使用及將其個人資料提供予其他人士”部份）之產品 / 服務，以及提供、維持、管理和操作該等產品 / 服務；
2. 處理和評估閣下就本公司及安盛關聯方所提供之產品 / 服務提出的任何申請或要求；
3. 向閣下提供後續服務，包括但不限於執行 / 管理已發出的保單；
4. 與就本公司和 / 或安盛關聯方提供的任何產品 / 服務而由閣下或針對閣下提出的或者其他涉及閣下的任何索賠相關的任何目的，包括索賠調查；
5. 偵測和防止欺詐行為（無論是否與就由本公司及 / 或安盛關聯方提供的產品 / 服務有關）；
6. 評估閣下的財務需求；
7. 為客戶設計產品 / 服務；
8. 為統計或其他目的進行市場研究；
9. 不時就本條款所列的任何目的核對所持有的與閣下有關的任何資料；
10. 作出任何適用法律、規則、規例、實務守則或指引所要求的披露或協助在澳門或澳門以外其他地方的警方或其他政府或監管機構執法及進行調查；
11. 進行身份和 / 或信用核查和 / 或債務追收；
12. 遵守任何適用的司法管轄區的法律；
13. 開展與本公司業務經營有關的其他服務；及
14. 與上述任何目的直接有關的其他目的。

個人資料的轉移：個人資料將予以保密，但在遵守任何適用法律條文的前提下，可提供給：

1. 位於澳門或澳門以外其他地方的任何安盛關聯方、本公司的任何相關聯人士、任何再保險公司、索賠調查公司、閣下之保險經紀、行業協會或聯會、基金管理公司或金融機構，以及就此方面而言，閣下同意將閣下的資料轉移至澳門境外；
2. 與就本公司和 / 或安盛關聯方提供的任何產品 / 服務而由閣下或針對閣下提出的或者其他涉及閣下的任何索賠相關的任何人士（包括私家偵探）；
3. 在澳門或澳門以外其他地方向本公司和 / 或安盛關聯方提供行政、技術或其他服務（包括直接促銷服務）並對個人資料負有保密義務的任何代理、承包商或第三方；
4. 信貸資料機構或（在出現拖欠還款的情況下）追討欠款公司；
5. 本公司權利或業務的任何實際或建議的承讓人、受讓方、參與者或次參與者；
6. 在澳門或澳門以外其他地方的任何政府部門或其他適當的政府或監管機關；及
7. 在有合理需要履行任何上述有關目的段落 2, 3, 4 及 5 之情況下，以下人士：保險理算人、代理和經紀、僱主、醫護專業人士、醫院、會計師、財務顧問、律師、整合保險業申訴和承保資料的組織、防欺詐組織、其他保險公司（無論是直接地，或是通過防欺詐組織或本段中指定的其他人士）、警察、和保險業就現有資料而對所提供的資料作出分析和檢查的數據庫或登記冊（及其運營者）。

如欲了解本公司為促銷目的使用閣下的個人資料的政策，請參閱下文“在直接促銷中使用及將其個人資料提供予其他人士”部份。

閣下的個人資料將僅為上文中規定的一個或多個有關目的而被轉移。

在直接促銷中使用及將其個人資料提供予其他人士：

如公司有意：

1. 使用本公司不時持有的閣下的姓名、聯絡資料、產品及服務的組合資料、交易模式及行為、財政背景及人口統計數據以進行直接促銷；
2. 就本公司、安盛關聯方，本公司合作品牌夥伴及商業合作夥伴可能提供關於下列類別的服務及產品而進行直接促銷（包括但不限於提供獎賞、客戶或會員或優惠計劃）：
 - a) 保險、銀行、公積金或公積金計劃、金融服務、證券和相關產品及服務；
 - b) 健康、保健及醫療、餐飲、體育運動及會員服務、娛樂、健身浴或類似的休閒活動、旅遊及交通、家居、服裝、教育、社交網絡、媒體的產品及服務及高級消費類產品；
3. 以上服務及產品將會由本公司及 / 或以下機構提供：
 - a) 任何安盛關聯方；
 - b) 第三方金融機構；
 - c) 提供上文 2. 所列之服務及產品之本公司及 / 或安盛關聯方的商業合作夥伴或合作品牌夥伴；
 - d) 向本公司或任何以上所列機構提供支援的第三方獎賞、客戶或會員或優惠計劃提供者；
4. 除由本公司促銷上述服務及產品外，本公司亦有意將上文 1. 段部份所述的資料提供予上文 3. 段部份所述的全部或任何人士，以供該等人士在促銷該等服務及產品中使用，而本公司為此目的須獲得客戶書面同意（包括表示不反對）。

在使用閣下的個人資料作上文所述的目的或提供予上文所述的人士之前，本公司須獲得閣下的書面同意，及只在獲得閣下的書面同意後方可使用閣下的個人資料及提供予其他人士作任何推廣及促銷用途。

閣下日後可撤回閣下給予本公司有關使用閣下的個人資料及提供予其他人士作任何促銷用途的同意。

閣下如欲撤回閣下給予本公司的同意，請發信至下文“個人資料的查閱和更正”部份所列的地址通知本公司。本公司會在不收取任何費用的情況下確保不會將閣下納入日後的直接促銷活動中。

個人資料的查閱和更正：根據相關法例，閣下有權查明本公司是否持有閣下的個人資料，獲取該資料的副本，以及更正任何不準確的資料。閣下還可以要求本公司告知閣下本公司所持個人資料的種類。

查閱和更正的要求，或有關獲取政策、常規及本公司所持的資料種類的資料，均應以書面形式發送至：

澳門殷皇子大馬路 43-53A 號澳門廣場 20 樓

安盛金融保險（香港）有限公司

個人資料保護主任

本公司可能會向閣下收取合理的費用，以抵銷本公司為執行閣下的資料查閱要求而引致的行政和實際費用。

本人 / 我們確認本人 / 我們已閱讀並明白收集個人資料的聲明《該聲明》。本人 / 我們確認本人 / 我們已被通知本人 / 我們須詳細閱讀《該聲明》，而本人 / 我們已詳細閱讀《該聲明》對貴公司所收集或持有之本人 / 我們的個人資料的影響（不論是否此表格所載或從其他途徑所取得）。根據以上所述，本人 / 我們特此確認並同意貴公司根據《該聲明》使用及轉移本人 / 我們的個人資料，包括在直接促銷中使用及將本人 / 我們的個人資料提供予其他人士。

[重要通知：如閣下不同意根據“收集個人資料的聲明”使用和轉移閣下的個人資料作直接促銷用途（參閱“在直接促銷中使用及將其個人資料提供予其他人士”部份），請在下列方格內 加上剔號（“/”），本公司將不會使用閣下的個人資料作為直接促銷用途。]

本人 / 我們不同意貴公司根據“收集個人資料的聲明”使用和轉移本人 / 我們的個人資料作直接促銷用途（參閱“在直接促銷中使用及將其個人資料提供予其他人士”部份）及並不願意接收任何貴公司的推廣及直接促銷的材料。

IMPORTANT : PLEASE DO NOT SIGN ON BLANK FORM.

請勿在空白表格上簽署

Sign on (yyyy/mm/dd) 簽署日期 (年 / 月 / 日)	Signature of Applicant 申請人簽署
Signature of Witness 見證人簽署 (Name 姓名：)	

6.2 Declarations and undertakings by the Consultant applicable to delegation of investment option management and authorization of Consultant Service Fee payment

The Consultant hereby declares that it and its relevant officers, employees, and consultants possess the authorization, licence and/or registration required (if any) under any applicable legislation and regulations or by any competent authority(ies) to perform the investment option management function and/or receive the Consultant Service Fee payment as described in sections 1 and 2 of this form respectively and undertakes that it and its relevant officers, employees and consultants will remain so authorized, licensed and/or registered and will comply with the rules and regulations of the appropriate regulatory authority(ies) for so long as the delegation and/or authorization of Consultant Service Fee payment is effective. It confirms that it will notify the Company and the Applicant of any changes to its authorization, licence and/or registration status or any changes to the authorization, licence and/or registration status of its relevant officers, employees, or consultants, and any disciplinary action taken against it and/or its relevant officers, employees, or consultants.

6.1 適用於委託投資選擇管理及授權支付顧問服務費的顧問聲明及承諾

顧問特此聲明，顧問及其相關的人員、僱員、顧問持有任何適用法例及規例下或任何有關主管機關要求的，分別如本申請表第 1 條和第 2 條所述履行投資選擇管理職責及 / 或收取顧問服務費付款所需的授權、牌照及 / 或註冊 (若有)；顧問並承諾其及其相關的人員、僱員、顧問將在上述委託及 / 或授權有效期間維持該等授權、牌照及 / 或註冊的效力並將遵守相關監管部門的規則及條例。顧問確認，若其授權、牌照及 / 或註冊狀況發生改變，或者其人員、僱員或顧問的授權、牌照及 / 或註冊狀況發生改變，或者其自身及 / 或其相關的人員、僱員或顧問被採取任何紀律行動，顧問將通知貴公司及申請人。

IMPORTANT NOTE 注意：For application for delegation of investment option management and/or application in relation to authorization of Consultant Service Fee payment (i.e. services requested under section 1 and/or 2 of this form), the Consultant is required to sign and stamp in the boxes below.

對於投資選擇管理委託申請及 / 或授權支付顧問服務費申請 (即本申請表第 1 項及 / 或第 2 項下申請的服務)，顧問須在以下空格中簽署及蓋章。

Signature of Authorized Signatory of Consultant 顧問授權簽署人簽署	Company Chop of the Consultant 顧問公司蓋章
<p>(Date 日期: _____)</p>	